

Texas Department of State Health Services

Mandatory Reporting of Health Care-Associated Infections in Texas



Healthcare Associated Infections
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Presentation Overview

1. Privacy
2. HAI Legislation
3. Reporting Requirements
4. Reporting Time-Line
5. Preparation for Reporting

Disclosure of PHI



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Texas Department of State

Important message about HIPAA Privacy Standards to providers and entities that submit protected health information to the Texas Department of State Health Services

The Health Insurance Portability and Accountability Act of 1996 (HIPAA) privacy regulations implemented standards for how information that identifies a patient can be used and disclosed. (Title 45, Code of Federal Regulations (CFR), Parts 160 and 164) The regulations apply to "covered entities" including health-care plans, health-care clearinghouses, and health-care providers. These privacy standards go into effect on April 14, 2003.

The regulations were amended in August 2002 deleting the requirement to obtain an individual's consent for the use and disclosure of private health information for treatment, payment and health care operations. (45 CFR §164.506).

You can continue to submit information you currently submit to DSHS under one or more of the following exceptions in the HIPAA Privacy Standards:

- **USE AND DISCLOSURE REQUIRED BY LAW:**

Section 164.512(a) allows covered entities to use and disclose private health information if the use or disclosure is required by law. For example, DSHS rules require certain diseases, injuries and conditions to be reported to DSHS. Under the "required by law" exception you can continue to comply with these mandatory reporting rules.

- **USE AND DISCLOSURE FOR PUBLIC HEALTH ACTIVITIES:**

Section 164.512(b) permits covered entities to release private health information to a public health authority that is authorized by law to collect and receive information for preventing and controlling disease, injury, or disability. This information includes reporting of; disease, injury, vital statistics like births, deaths, marriages,

www.dshs.state.tx.us/hipaa/webmessage.shtm



Legislation Background

- 78th legislative Session (2005) passed study bill
 - › Advisory Panel, White Paper
- 79th legislative Session (2007) passed SB 288
 - › Reporting provisions
- 80th legislative Session (2009) passed SB 203: Amended SB 288 (Chapter 98)
 - › Added two members to AP
 - › 28 PAE
- **82nd Legislative Session**
 - › **To allow use of NHSN**



Reporting Requirements

Who is required to report?

- Ambulatory Surgical Centers – SSIs
 - Licensed under Chapter 243
- General Hospitals – CLABSIs & SSIs
 - Licensed under Chapter 241
 - Hospital that provides surgical or obstetrical services that is maintained or operated by the state
 - Includes LTACs and Critical Access Hospitals

DOES NOT INCLUDE COMPREHENSIVE MEDICAL REHABILITATION HOSPITALS

Reporting Requirements

Reportable healthcare-associated infections

- › **Central line-associated bloodstream infections** in *special care settings* (unit or hospital service that provides treatment to inpatients who require extraordinary care on a concentrated and continuous basis, such as ICUs & CCUs)
- › **Surgical site infections (see ICD-9 codes in rules)**
 - PEDS/ADOLESCENT HOSPITALS: Cardiac procedures, spinal surgery with instrumentation, and ventriculoperitoneal shunt procedures
 - ALL OTHER HOSPITALS: Colon surgeries, hip & knee arthroplasties, abdominal & vaginal hysterectomies, vascular procedures, and coronary artery bypass grafts

Phased in Reporting

Beginning 2011

- All facilities report CLABSI in specialty care areas
- Surgical centers and general hospitals report knee arthroplasties
 - › Knee arthroplasties ICD-9th Revision codes; Knee prosthesis – 00.80-00.84, 81.54 and 81.55
- Pediatric hospitals report ventriculoperitoneal shunts
 - › Ventriculoperitoneal shunts ICD-9th Revision codes; Ventriculoperitoneal shunts including revision and removal of shunt – 02.2, 02.31-02.35, 02.39, 02.42, 04.43 and 54.95

Phased in Reporting

Beginning in January 2012

- Surgical centers and general hospitals report hip arthroplasties, coronary artery bypass grafts
- Pediatric hospitals report cardiac procedures

Beginning January 2013

- Surgical centers and general hospitals report abdominal & vaginal hysterectomies, colon surgeries, and vascular procedures
- Pediatric hospitals report spinal surgeries with instrumentation

** Tentative date*

Proposed Reporting Time Line

Reporting Quarter	Jan 1 – Mar 31	April 1 – June 30	July 1 – Sept 30	Oct 1 – Dec 31
Facility data submission deadline	31-May	31-Aug	30-Nov	28-Feb
DSHS data reconciliation performed	15-Jun	15-Sep	15-Dec	15-Mar
Facility corrections due	30-Jun	30-Sep	31-Dec	31-Mar
DSHS data summary to facilities	NA	15-Oct	NA	15-Apr
Facility comment period	NA	30-Oct	NA	30-Apr
DSHS review of comments	NA	15-Nov	NA	15-May
Public posting of summary	NA	1-Dec	NA	1-Jun

Reporting Preparation

- Enroll your facility in NHSN using CMS Provider #
 - › May need to request an enrollment #
- Establish facility contacts for communication with **TEXAS**: Select a primary and secondary contact responsible for coordinating communications related to data submissions, verifications and approval of data summary.
 - › You may want to establish a general facility email address. For example:
 - NHSNusers@yourhospital.com



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- Infectious Diseases A-C
- D-G
- H-L
- M-Q
- R-S
- T-Z
- IDCU Health Topics
- Disease Reporting
- Related Rules & Regulations
- About IDCU
- Related DSHS Sites
- Staff Contact List

Contact Us
Infectious Disease Control Unit
Mail Code: 1960
PO BOX 149347 - Austin, TX 78714-9347
1100 West 49th Street, Suite T801
Austin, TX 78714
Phone: (512) 776-7676
Fax: (512) 776-7616
[E-mail](#)

Home > Infectious Disease Control > HAI

HAI

Health Care-Associated Infections

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HAI QUESTIONS?
ASK US

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- Meetings
- Professional
- Advisory Panel
- Resources
- Data
- Reporting
- Prevention
- Calendar



HAI Reporting on the Web

Search for HAI Reports

From IRRHS page:

1. Search by County: Click a county on the map below or select a county from the county list and click Search
2. Search by Name: Enter all or part of the hospital name or county and click Search
3. Search by Zip Code: Type a zip code into the Zip Code box; select type of zip code search (within or distance from); and click Search

County:

Facility name:

Zip Code:

Zip Code Search Type: Within 10 miles 20 miles 30 miles 40 miles 50 miles 60 miles



HAI Reporting on the Web

HAI Search Results

Austin American Sleep Diagnostic Center - other information (address, ...)
Austin Heart Center - other information (address, ...)
Austin Office of Rural Health - other information (address, ...)
Austin State Hospital - other information (address, ...)
Austin Women's Hospital - other information (address, ...)
Austin-Travis County Tuberculosis Sanatorium - other information (address, ...)
Brackenridge Hospital - other information (address, ...)
Brown Schools Rehabilitation Center - other information (address, ...)
Disability and Impairment Assessment Center - other information (address, ...)
Health South Surgical Hospital - other information (address, ...)

[Current Brief Report](#)
[Current Extended Report](#)
[Historical Reports](#)

New Search

HAI Reporting on the Web

Facility name

Facility address

Summary data for [Time Period][Year]

Report current as of: [Date/Time NHSN Data Generated]

Central-Line Associated Bloodstream Infection (CLABSI) Standardized Infection

Unit Type	CLABSI SIR	Statistical Interpretation
NICU		★ ★ ★ Better than the national experience
ICU-OTHER		★ ★ About the same as the national experience

Surgical Site Infections (SSI) Standardized Infection Ratio (STR)

Surgery Type	SSI SIR	Statistical Interpretation
Knee prosthesis		
Inpatient		★ ★ ★ Better than the national experience
Outpatient		★ ★ About the same as the national experience
Hip prosthesis		
Inpatient		★ Worse than the national experience
Outpatient		☆ Not enough data
Coronary artery bypass graft with both chest and donor site incisions		
Inpatient		
Outpatient		
Coronary artery bypass graft with chest incision only		
Inpatient		
Outpatient		

HAI Reporting on the Web

Facility name					
Facility address					
Summary data for [Time Period][Year]					
Report current as of: [Date/Time NHSN Data Generated]					
Central-Line Associated Bloodstream Infection (CLABSI) Standardized Infection Ratio (SIR)					
Unit Type	Observed No. of CLABSI	No. of Central Line Days	Predicted No. of CLABSI	CLABSI STR	Statistical Interpretation
NICU					★ ★ ★ Better than the national experience
ICU-OTHER					★ ★ About the same as the national experience
Surgical Site Infections (SSI) Standardized Infection Ratio (SIR)					
Surgery Type	Observed No. of SSI	No. of Procedures	Predicted No. of SSI	SSI SIR	Statistical Interpretation
Knee prosthesis					
Inpatient					★ ★ ★ Better than the national experience
Outpatient					★ ★ About the same as the national experience
Hip prosthesis					
Inpatient					★ Worse than the national experience
Outpatient					☆ Not enough data
Coronary artery bypass graft with both chest and donor site incisions					
Inpatient					
Outpatient					
Coronary artery bypass graft with chest incision only					
Inpatient					
Outpatient					

National Healthcare Safety Network (NHSN)

NHSN

- Join NHSN
- About NHSN
- Communication Updates
- Enrollment Requirements
 - FAQs About Enrollment
 - NHSN Security
 - Begin Enrollment**
 - Enrollment Training
 - Enrollment Forms
- Patient Safety Component
- Healthcare Personnel Safety Component
- Biovigilance Component
- Data Collection Forms
- Training
- Data & Statistics
- Resource Library
- Clinical Document Architecture
- NHSN Manuals
- Contact NHSN

[NHSN](#) > [Enrollment Requirements](#)

Begin Enrollment Process

Enrolling in NHSN is a multiple step process, outlined below, that is completed by the person designated to serve as the Facility Administrator. The steps must be followed in the order listed to ensure a successful enrollment.

The person designated as the NHSN Facility Administrator is the only person who can enroll a facility in NHSN or reassign the role of Facility Administrator. This person will also have the ability to nominate groups, that is, entities with which your hospital wants to share some/all of its data (e.g., state or county health department, corporate headquarters).

For complete detailed enrollment instructions please download the [NHSN Facility Administrator Enrollment Guide](#) [PDF - 1.18 MB]
A step-by-step start-up guide for enrolling a facility in NHSN. October 2010.

Before attempting to enroll, as the Facility Administrator you must:

Review the following documents and fulfill training requirements:

- [Purposes, Eligibility, Requirements and Confidentiality](#) [PDF - 46KB] April 2006.
- [NHSN Facility Administrator Enrollment Guide](#) [PDF - 1.18 MB] A step-by-step start-up guide for enrolling a facility in NHSN. October 2010.
- [NHSN Manual: Patient Safety Component Protocols](#)

Access NHSN Enrollment Requirements for CMS Hospital Inpatient Quality Reporting Program Here

Beginning January 1, 2011, NHSN will be the tool used by facilities electing to participate in the CMS Hospital Inpatient Quality Reporting Program.

- [NHSN Training and Enrollment Requirements](#) for the CMS Hospital Inpatient Quality Reporting Program, formerly known as RHQDAPU.

When you have completed the required trainings and read the above documents, you are ready to enroll. Follow the steps below to complete the enrollment process.

NOTE: Please make sure that your email system will not block emails from nhsn@cdc.gov and PHINTech@cdc.gov before beginning enrollment.

1. **Read the [NHSN Rules of Behavior](#).**
In order to participate in the NHSN, you must read and agree to abide by the following [rules of behavior](#) for safeguarding the system's security.
2. **Register your facility in the NHSN.**
After agreeing to the Rules of Behavior, you will be taken to the NHSN Registration page.

Text size: [S](#) [M](#) [L](#) [XL](#)

- [Email page](#)
- [Print page](#)
- [Bookmark and share](#)

Get email updates

To receive email updates about NHSN, enter your email address:

Contact NHSN:

Centers for Disease Control and Prevention
National Healthcare Safety Network
MS-A24
1600 Clifton Rd
Atlanta, GA 30333

nhsn@cdc.gov

[More contact info »](#)

FAQs About...

- NHSN
- [CMS Hospital Inpatient Quality Reporting Program](#)
- [New NHSN agreement](#)
- Enrollment
- Security
- [Digital Certificates](#)

Contact Information

For Texas HAI reporting questions:

Office: (512) 776-3773

Email: HAITexas@dshs.state.tx.us

Important Websites:

- > www.HAITexas.org
- > www.cdc.gov/nhsn