PART D: SCIENCE BASE

Section 5: Carbohydrates

INTRODUCTION
Carbohydrates—the sugars, starches and fibers found in fruits, vegetables, grains and milk products—are an important part of a healthy diet. Sugars and starches supply energy to the body in the form of glucose, which is the primary energy source for the brain, central nervous system and red blood cells. Fibers, unlike sugars and starches, do not supply glucose to the body. They promote healthy laxation and decrease the risk of certain chronic diseases such as coronary heart disease and diabetes.

NOMENCLATURE FOR CARBOHYDRATES
The nomenclature for carbohydrates is somewhat confusing. Sugars can be one sugar unit (monosaccharides) such as glucose, fructose, and galactose; and they can be two sugar units linked together (disaccharides) such as sucrose, lactose, and maltose. A further distinction is sometimes further made between intrinsic and extrinsic sugars. The term intrinsic sugar means those sugars that are naturally occurring within a food, whereas extrinsic sugars are those that are added to foods. The U.S. Department of Agriculture (USDA) has defined added sugars as sugars and syrups that are added to foods during processing or preparation, and also includes sugars and syrups added at the table. There is no difference in the molecular structure of sugar molecules, whether they are naturally occurring in the food or added to the food.

Starches are many glucose units linked together (polysaccharide). Although most starch can be broken down by human enzymes into glucose for absorption, some starch does not undergo digestion in the small intestine and is called resistant starch, which is found in plant foods such as legumes, pasta, and refrigerated cooked potatoes. Fibers, like starches, are polysaccharides made up mostly of glucose units (in the case of cellulose) or other combinations of monosaccharides. However, the monosaccharides in fibers are bonded to each other differently than they are in starches, and human enzymes cannot break the bonds in the fibers. Thus, fibers are not absorbed from the small intestine and pass relatively intact into the large intestine, as does resistant starch.

RECOMMENDATIONS FOR THE INTAKE OF SUGARS AND STARCHES
The Institute of Medicine report Dietary Reference Intakes for Energy, Carbohydrate, Fiber, Fat, Fatty Acids, Cholesterol, Protein, and Amino Acids (IOM, 2002) established a Recommended Dietary Allowance (RDA) for carbohydrate of 130 g per day for adults and children. This value is based upon the amount of carbohydrate (sugars and starches) required to provide the brain with an adequate supply of glucose. Glucose is the only energy source for red blood cells and the preferred energy source for the brain, central nervous system, placenta, and fetus. When muscle cells operate anaerobically (without oxygen), they rely 100 percent on glucose. If glucose is not provided in the diet and the body’s storage form of glucose (glycogen) is depleted, the body will break down protein in muscles to maintain glucose blood levels and supply glucose to the brain (IOM, 2002).
The Institute of Medicine (IOM) also set an Acceptable Macronutrient Distribution Range (AMDR) for carbohydrate of 45 to 65 percent of total calories. At the low end of this range it is very difficult to meet the recommendations for fiber intake, and at the high end of the range overconsumption of carbohydrates may result in high blood triglyceride values. A comparison of the RDA to the AMDR shows that the recommended range of carbohydrate intake is higher than the RDA. For example, if an individual with a caloric intake of 2,000 kcal per day were to consume 55 percent of calories as carbohydrate (the mid-range of the AMDR) that would mean that 1,100 kcal would be from carbohydrate. This equates to 275 g carbohydrate (1 g carbohydrate = 4 kcal), well above the RDA of 130 g per day. In summary, the primary beneficial physiological effect of sugars and starches, and the basis for setting an RDA for carbohydrate, is the contribution of glucose as an energy source for the brain. However, the amount of glucose needed by the brain is lower than the AMDR for carbohydrate (45 to 65 percent of total calories).

**RECOMMENDATIONS FOR THE INTAKE OF FIBER**

Fibers are different from sugars and starches in that they are not digested and absorbed in the small intestine and converted to glucose. Humans do not have the necessary enzymes to break down fibers into their constituent parts so that they can be absorbed into the body. Therefore, fibers pass from the small intestine into the large intestine relatively intact. There they can be fermented by the colonic microflora to gases such as hydrogen (H₂) and carbon dioxide (CO₂) and to short chain fatty acids. Although fibers are not converted to glucose as are sugars and starches, some of these short chain fatty acids are absorbed and can be used for energy in the body. However, determining the amount of calories supplied by fiber is complex since it depends on such factors as the fermentability of the fiber, the individual’s colonic microflora, how long fiber stays in the colon, etc. The IOM has set an Adequate Intake (AI) value for fiber of 14g of fiber per 1,000 kcal. This AI is based on the totality of the evidence for fiber decreasing the risk of chronic disease and other health-related conditions, but the actual numbers for the AI were derived from the data supporting a decreased risk for the development of coronary heart disease (CHD). The major food sources of fiber are fruits, vegetables (particularly legumes), and grains. Milk does not contain fiber although certain milk-containing products may.

**MAJOR FOOD SOURCES OF CARBOHYDRATES (FRUITS, VEGETABLES, GRAINS, AND MILK PRODUCTS)**

Since the RDA for carbohydrate is relatively easy to meet, and carbohydrates (sugars and starches) supply calories, it is important to choose food sources of carbohydrates carefully to maximize nutrient value per calorie. Also, since fiber has known health benefits (e.g., promoting a healthy laxation and decreasing the risk of CHD and diabetes) it is advisable to select high-fiber foods where possible. For example, fruits provide sugars, usually at a relatively low calorie cost, and they are important sources of fiber and at least eight additional nutrients. Some vegetables are high in starch and some are very low in both starch and sugar, but they all are important sources of fiber. They also are important sources of 19 or more nutrients, including vitamins A, E, and folate and potassium, and, in general, do not supply many calories. Whole grains are high in fiber and starch, but most of the fiber is removed when grains are refined. Milk and milk
products contain the sugar lactose and generally do not contain any fiber but may in certain milk products.

OVERVIEW OF QUESTIONS ADDRESSED
Five of the questions about carbohydrates and carbohydrate-rich foods are specific to carbohydrates and are found in this section. Other questions that also involve carbohydrate-related issues are found elsewhere in this report but are summarized here to provide a better overview of the role of carbohydrates in health.

The following questions specific to carbohydrates are found in this section

1. What is the relationship between intake of carbohydrates and dental caries?
2. How important to human health is the glycemic response to carbohydrates?
3. What is the utility of the glycemic index/glycemic load for providing dietary guidance for Americans?
4. What is the significance of added sugars intake to human health?
5. What are the major health benefits of fiber-containing foods?

In the interest of presenting a more comprehensive section on carbohydrates, below is a list of related questions, the section of the report in which they are found, and conclusions in brief.

6. What are the major health benefits of carbohydrate-containing foods?

This information is found in Part D, Section 6, “Selected Food Groups (Fruits and Vegetables, Whole Grains, and Milk Products).” The conclusions are

- Greater consumption of fruits and vegetables is associated with a reduced risk of stroke and perhaps other cardiovascular diseases, with a reduced risk of cancers in certain sites, and with a reduced risk of type 2 diabetes (vegetables more than fruit). Moreover, increased consumption of fruits and vegetables may be a useful component of programs designed to achieve and sustain weight loss.
- Consuming at least three servings of whole grains per day can reduce the risk of diabetes and coronary heart disease and may help with weight maintenance. Thus, daily intake of three or more servings of whole grains per day is recommended, preferably by substituting whole grains for refined grains.
- Consuming three servings per day of milk and milk products can reduce the risk of low bone mass and contribute important amounts of many nutrients. Furthermore, this amount of milk product consumption is not associated with increased body weight. Therefore, the intake of three servings of milk products per day is recommended.

7. What are the optimal proportions of dietary fat and carbohydrate to maintain body mass index and to achieve long-term weight loss?
This information is found in Section 2, “Energy,” Question #3. The conclusion is weight maintenance depends on a balance of energy intake and energy expenditure, regardless of the proportions of fat, carbohydrate, and protein in the diet. For adults, well-planned weight loss diets that are consistent with the AMDRs for fat, carbohydrate, and protein can be safe and efficacious over the long term.

8. What is the evidence to support caloric compensation for liquid versus solid foods?

This discussion and review of the literature is found in Section 2, “Energy,” Unresolved Issues. The conclusion is that the evidence is conflicting that liquid and solid foods differ in their effect on calorie compensation (the ability to regulate energy intake with minimal conscious effort, such as reducing the amount of food consumed on some occasions to compensate for increased consumption at other times).

**QUESTION 1: WHAT IS THE RELATIONSHIP BETWEEN INTAKE OF CARBOHYDRATES AND DENTAL CARIES?**

**Conclusion**

The intake of carbohydrates (including sucrose, glucose, fructose, lactose and starch) contributes to dental caries by providing substrate for bacterial fermentation in the mouth. Drinking fluoridated water and/or using fluoride-containing dental hygiene products help reduce the risk of dental caries. A combined approach of reducing the frequency and duration of exposure to fermentable carbohydrate intake and optimizing oral hygiene practices is the most effective way to reduce caries incidence.

**Rationale**

**Overview.** The process of dental caries formation involves three steps: the fermentation of substrate by cariogenic bacteria in the mouth to produce acid, demineralization of the enamel surface by the acid, and subsequent bacterial invasion. Factors that affect this process include the type and amount of substrate, the bacterial population, the length of time the substrate is available to the bacteria, and the susceptibility of the tooth to acid demineralization.

**Review of the Evidence**

**The Substrate for Bacterial Fermentation.** The major substrates for bacterial fermentation are sugars, including sucrose, glucose, fructose, and lactose) and starch (Bibby, 1975; Lingstrom et al., 2000; Moynihan and Petersen, 2004; Walker and Cleaton-Jones, 1992). In contrast, certain dietary fibers (e.g., cellulose and pectin) (Touger-Decker and van Loveren, 2002) and dietary sugar alcohols and certain other sugar substitutes (e.g., xylitol, sorbitol, mannitol, maltitol, isomalt, lactitol, hydrogenated starch hydrolysates, hydrogenated glucose syrups, erythritol, or a combination of these) are
much less cariogenic than other carbohydrates (FDA, 1997, 2002; Makinen et al., 1995). However, evidence as to whether or not substituting sucrose with sugar substitutes to reduce caries occurrence is effective remains inconclusive (Lingstrom et al., 2003).

**Other Factors That Affect Caries Formation.** Despite the known ability of both sugar and starch to be fermented to acids that can induce demineralization of enamel, their overall contribution to caries formation is less clear and not a simple cause-and-effect relationship (Walker and Cleaton-Jones, 1992). Other important considerations are the form of the food, how long it remains in the mouth, and the frequency of consumption. The longer a cariogenic substance remains in the oral cavity, the greater the probability of extended acid production and demineralization (Kashket et al., 1996). The duration of acid production also is affected by the frequency and amount of fermentable carbohydrate consumed (Lingstrom et al., 2000). Sugars that are slowly released (e.g., from hard candies) or sweetened beverages that are sipped over time or held in the mouth provide greater access to bacteria than the same amount of sugar in foods or beverages that are swallowed quickly. Other important factors for clearing fermentable substrate from the oral cavity include the activity of salivary enzymes and saliva flow. Clearance of high-starch foods such as cookies and potato chips has been found by some to be slower than clearance of high-sugar, low-starch foods such as caramels and jelly beans (Edgar et al., 1975; Kashket et al., 1996; Luke et al., 1999).

Dental hygiene may have a greater role in the development of dental caries than do the type of carbohydrate and its retention time in the mouth. For example, in a systematic review of the literature from 1980 to 2000 addressing the question of whether individuals with a high level of sugar intake experience greater caries severity relative to those with a lower level of intake. The authors report that only 2 papers found a strong relationship between sugar consumption and caries development, 16 found a moderate relationship, and 18 found a weak-to-no relationship (Burt and Pai, 2001). A separate study investigated the relative importance of dietary sugars, toothbrushing frequency, and social class as predictors of caries among 1,450 British preschool children. The strength of the association between social class and caries was twice that between toothbrushing and caries, and approximately three times that between sugar confectionery and caries (no other diet component was statistically significant) (Gibson and Williams, 1999). The relationship between sugar confectionery and caries was significant only in those children who brushed less than twice a day (Gibson and Williams, 1999). The authors concluded that regular brushing with a fluoride toothpaste may have greater impact on caries in young children than does restricting sugary foods (Gibson and Williams, 1999).

Heller et al. (2001) came to the same conclusion in their report on the association between sugared soda consumption and caries. Using the dietary and dental examination data from the *Third National Health and Nutrition Study* (NHANES III), they found no relationship between soft drink consumption and caries in individuals under age 25 but did find a positive association in people over age 25 (Heller et al., 2001). They attributed the lack of association in the younger age group to increased use of fluorides since the 1960s. They attributed the significant association in the older group to the cumulative effects of long-term soft drink consumption. In the development of dental caries, the role
of sugar and other carbohydrates is not independent of factors such as fluoridation and oral hygiene (Holbrook et al., 1995; Mascarenhas, 1998; McDonagh et al., 2000; Navia, 1994; Shaw, 1987; Tougher-Decker and van Loveren, 2002). Caries have declined in areas where the water has been fluoridated (McDonagh et al., 2000).

The impact of sugar intake on dental caries was reviewed by the IOM (IOM) to determine whether or not the science supported an upper level (UL) of intake for sugar based on its contribution to tooth decay (IOM, 2002). The report concludes, “Because of the various factors that can contribute to dental caries, it is not possible to determine an intake level of sugar at which increased risk of dental caries can occur.” (IOM, 2002). Studies published since those cited in the IOM report and reviewed by the Dietary Guidelines Committee (the Committee), including a systematic review (Burt and Pai, 2001), support the IOM’s conclusion (Anusavice, 2002; Campaign, 2003, Heller et al., 2001; Tougher-Decker and van Loveren, 2002).

**Young Children**

Most of the studies of preschool children report a positive association between sucrose consumption and dental caries (Paunio, 1993; Wendt and Birkhed, 1995). Here again, however, other factors (particularly frequent brushing with fluoridated toothpaste) are more predictive of caries outcome than is sugar consumption (Gibson and Williams, 1999; Grindefjord et al., 1996; Paunio, 1993; Stecksen-Blicks and Holm, 1995; Wendt, 1996). Some studies report increased risk with sugar-sweetened beverages (Wendt et al., 1996), others with candy consumption (Grindefjord et al., 1996), others with a variety of sugar-containing products (Paunio et al., 1993), and still others report that intake of certain sucrose-containing products may be predictive of caries in children with poor dental hygiene (e.g., brushing once a day or less) but not in children with better dental hygiene (Gibson and Williams, 1999). In a longitudinal study tracking caries and diet in children just prior to and after starting school, increased consumption of sugar-containing foods was associated with increased caries in 5-year-olds (Holbrook et al., 1995). In Finland, Ruottinen et al. (2004) followed two groups of boys (one in the highest 5 percentiles and the other in the lowest 5 percentiles for sucrose intake; n= > 66,000 boys) from infancy to age 10 years. They found that children with the highest sucrose intake had a higher score for dental caries than those in the low-sucrose intake group (Routtinen et al., 2004).

**Possible Confounding Factors**

Interpretation of the cross sectional studies showing a relationship between sugar intake and dental caries needs to consider the possibility that frequent use of candy, sodas, and other sugar-containing foods may be a proxy for a less than optimal healthy lifestyle, rather than a direct effect of the sugar itself. In addition, a large proportion of the studies on children and dental caries have been conducted outside of the United States making extrapolation to the U.S. population somewhat difficult. Not only are eating habits different in other countries, but the degree of fluoride availability is different. Nevertheless, there is little doubt that the primary initiating event in caries formation is the fermentation of carbohydrates, particularly sugars and starch.
QUESTION 2: HOW IMPORTANT TO HUMAN HEALTH IS THE GLYCEMIC RESPONSE TO CARBOHYDRATES?

Conclusion
A potential health concern for foods that raise blood glucose levels and initiate an insulin response is that they may eventually lead to diabetes. Current evidence suggests that there is no relationship between total carbohydrate intake (minus fiber) and the incidence of either type 1 or type 2 diabetes. The intake of fiber-containing foods is associated with a decreased risk of type 2 diabetes in a number of epidemiological studies.

Rationale

Overview. The glycemic response is defined as the effects that carbohydrate-containing foods have on blood glucose concentration during the time course of digestion. A number of factors influence the glycemic response to food, including the amount of carbohydrate (Gannon et al., 1998), the type of sugar (glucose, fructose, sucrose, lactose) (Wolever et al., 1994), the nature of the starch (amylose, amylopectin, resistant starch) (O’Dea et al., 1981), cooking and food processing (degree of starch gelatinization, particle size, cellular form) (Snow and O’Dea, 1981), food structure (Jarvi et al., 1995), and other food components (fat and natural substances that slow digestion—lectins, phytates, tannins, and starch-protein and starch-lipid combinations) (Hughes et al., 1989). Other factors affecting the glycemic response to food include fasting and preprandial glucose concentrations (Nielsen et al., 1989, Rasmussen and Hermansen, 1991, Fraser et al., 1990; Schvarcz et al., 1993), the severity of glucose intolerance (Parillo et al., 1996), and the second meal or lente effect (Jenkins et al., 1982). A major concern with increasing postprandial glucose and insulin levels is that there may eventually be a diminished insulin response that could lead to diabetes.

Type 1 diabetes is an immunological disease in which the beta-cells of the pancreas are destroyed by auto-immune processes. Although a number of food-based substances have been invoked as important in the process, there is no clear evidence for any of them. Type 2 diabetes is a disease that is manifested by insulin resistance and a gradual deterioration of B-cell function. Any dietary insult that abets either of these processes could play a role in its etiology. Does carbohydrate intake predispose to type 2 diabetes? Evidence from four prospective observational studies indicates that it does not (Lundgren et al., 1989; Marshall et al., 1991; Salmeron et al., 1997a, 1997b). In these four studies, there was no association between an increased amount of total carbohydrate in the diet and the development of diabetes mellitus in the cohorts studied over periods as long as 16 years. Also, in an analysis of cross-sectional data from NHANES III, Yang et al. (2003) found no association between carbohydrate intake and HgbA1c (the amount of glycosylated hemoglobin in blood that provides an estimate of how well diabetes is being managed over time), plasma glucose, or serum insulin concentrations. In fact, there was an association between lower total carbohydrate intake and an elevation of serum C-peptide concentration, suggesting a possible association between low-carbohydrate diets and increased basal insulin secretion.
Furthermore, there is no evidence that total sugar intake is associated with the development of type 2 diabetes (Colditz et al., 1992; Janket et al., 2003). These two prospective longitudinal studies show no relationship between the total intake of sugar and the development of type 2 diabetes mellitus. One study actually shows a negative association between sugar intake and diabetes risk (Meyer et al., 2000). Clinical trials show that total dietary sugar does not increase plasma glucose concentrations to a greater extent than do isoenergetic amounts of dietary starch (Mann et al., 2002). However, further analysis within the Nurses’ Health Study indicates that there may be an association between consumption of sugar-sweetened beverages, other than fruit juices, and an increased risk of type 2 diabetes in women, possibly by providing excessive calories and large amounts of rapidly absorbable sugars (Schulze, 2004).

In contrast, intake of fiber has been inversely associated with type 2 diabetes in a number of epidemiological studies (Hu et al., 2001; Meyer et al., 2000; Montonen et al., 2003; Salmeron et al., 1997a, 1997b). For example, in the Nurses’ Health Study, Salmeron et al. (1997a) reported on fiber intake and its relationship to diabetes. There was a 28 percent risk reduction from the highest to the lowest quintile of fiber intake. However, the source of fiber appears to be important, as cereal fiber but not fruit or vegetable fiber intake has been inversely associated with risk for diabetes in several studies (Salmeron et al., 1997b). In the Health Professionals Follow-up Study (Hu et al., 2001), the risk of developing diabetes did not decrease with higher total fiber intakes, but a risk reduction of 30 percent was observed in the highest quintile of cereal-fiber intake (median 10.2 g per day) compared to the lowest quintile (median intake 1.14 g per day). Again, as in the Nurses’ cohort, cereal fiber but not fruit or vegetable fiber intake was associated with the protective effect. Similarly, in the Finnish Mobile Clinic Survey (Montonen et al., 2003), cereal fiber intake also was associated with a reduced risk of type 2 diabetes. The relative risk between the extreme quartiles of cereal fiber intake was 0.39; \( P=0.01 \).

**QUESTION 3: WHAT IS THE UTILITY OF THE GLYCEMIC INDEX/GLYCEMIC LOAD FOR PROVIDING DIETARY GUIDANCE FOR AMERICANS?**

**Conclusion**

Current evidence suggests that the glycemic index and/or glycemic load are of little utility for providing dietary guidance for Americans.

**Rationale**

**Overview.** The glycemic index is a classification proposed to quantify the relative blood glucose response to consuming carbohydrate-containing foods. Operationally, it is the area under the curve for the increase in blood glucose after the ingestion of a set amount of carbohydrate in a food (e.g., 50 g) during the 2-hour postprandial period, relative to the same amount of carbohydrate from a reference food (white bread or glucose) tested in the same individual under the same conditions and using the initial blood glucose concentration as a baseline.

The glycemic load is an indicator of the glucose response or insulin demand that is induced by total carbohydrate intake. It is calculated by multiplying the weighted mean
of the dietary glycemic index of the diet of an individual by the percentage of total energy from carbohydrate.

The **glycemic response** is defined as the effects that carbohydrate-containing foods have on blood glucose concentration during the time course of digestion.

**Review of the Evidence Glycemic Index.** Although the use of food with a low-glycemic index may reduce postprandial glucose, there is not sufficient evidence of long-term benefit to recommend general use of diets that have a low-glycemic index.

**Glycemic Load.** The glycemic load has been used primarily in observational epidemiological studies to examine the effect of diet on the risk of developing chronic diseases such as diabetes, heart disease, and cancer (IOM, 2002). The glycemic load has been reported to be positively associated with the risk of developing type 2 diabetes in men and women (Salmeron et al., 1997a, 1997b). In a cross-sectional study of healthy postmenopausal women, dietary glycemic load was inversely related to plasma high-density lipoprotein (HDL) cholesterol and positively related to fasting triglycerides (Liu et al., 2001). In the analysis of the NHANES III results, a high glycemic load was associated with a lower concentration of plasma HDL cholesterol (Ford and Liu, 2001).

The findings from epidemiological studies indicate a possible relationship between the propensity of diets with a high glycemic load to raise blood glucose levels and increase in the risk of type 2 diabetes. To determine the utility of glycemic load in predicting risk, long-term trials are needed in which diets with high glycemic load are compared to low glycemic load diets with regard to outcomes. Also, it is necessary to examine the effect of glycemic load of a mixed meal diet on postprandial glucose and insulin levels. A 4-week study by Wolever and Mehling (2003) comparing high-and low-glycemic index diets in impaired glucose tolerance subjects showed the high-glycemic index diet to have no significant change in glucose, but a lower insulin level than the low-glycemic diet.

**Relationship of Glycemic Index and Load.** The relationship of glycemic index and load has been examined in long-term prospective studies. These have shown inconsistent results. A followup study within the Nurses’ Health Study confirmed the association between glycemic load and risk of type 2 diabetes. However, the Iowa Women’s Health Study showed no significant relationship between glycemic index or load and the development of diabetes (Meyer et al., 2000).

The inconsistencies among studies are likely due to the poor tools available to measure these dietary components. Food frequency questionnaires can be extremely inaccurate, even in the best of hands. In addition, the food frequency questionnaires used in these studies were not designed to measure glycemic index or load. The validation data are weak. Prospective, randomized studies are needed to answer this question. The relationship between glycemic index and glycemic load and the development of type 2 diabetes is unclear at this time.
QUESTION 4: WHAT IS THE SIGNIFICANCE OF ADDED SUGARS INTAKE TO HUMAN HEALTH?

Conclusion
Compared with individuals who consume small amounts of foods and beverages that are high in added sugars, those who consume large amounts tend to consume more calories but smaller amounts of micronutrients. Although more research is needed, available prospective studies suggest a positive association between the consumption of sugar-sweetened beverages and weight gain. A reduced intake of added sugars (especially sugar-sweetened beverages) may be helpful in achieving recommended intakes of nutrients and in weight control.

Rationale

Overview. Added sugars are sugars and syrups that are added to foods during processing or preparation or at the table. Major sources of added sugars include soft drinks, cakes, cookies, pies, fruitades, fruit punch, dairy desserts, and candy (USDA/DHHS, 2000). Specifically, added sugars include white sugar, brown sugar, raw sugar, corn syrup, corn-syrup solids, high-fructose corn syrup, malt syrup, maple syrup, pancake syrup, fructose sweetener, liquid fructose, fruit-juice concentrate, honey, molasses, anhydrous dextrose, and crystal dextrose. In 1994–1996 USDA food consumption survey data, nondiet soft drinks were the leading source of added sugars in Americans’ diets, accounting for one-third of the intake of added sugars (Guthrie and Morton, 2000). Soft drinks were followed by sugars and sweets (16 percent), sweetened grains such as cakes and cookies (13 percent), fruitades/drinks (10 percent), breakfast cereals and other grains such as breakfast bars (10 percent), and sweetened dairy (9 percent). Together, these foods and beverages accounted for 90 percent of the Americans’ intake of added sugars.

Solid foods with added sugars have a high energy density, while beverages that contain added sugars often are relatively low in energy density because of their high water content. The addition of sugar to a food (e.g., adding sugar to grapefruit or coffee) increases the energy density of the food or beverage as consumed. The issue with added sugars is not that sugars themselves are detrimental to health. Rather, as sugars are added to the diet they provide calories only. Thus, at some amount of additional added sugars, either one compensates by decreasing intake of more nutrient-dense foods, and/or one adds sugars on top of an existing diet and increases caloric intake. Increased calorie intake, in turn, may result in weight gain.

Does Intake of Added Sugars Contribute to Excess Intake of Energy?
The analysis of dietary data on added sugars may underestimate intake because of the underreporting of food intake, which is more pervasive among obese adolescents and adults than among their lean counterparts (Johnson, 2000). It appears that foods high in added sugars are selectively underreported (Krebs-Smith et al., 2000).
Cross Sectional Studies. Despite these research challenges, most cross-sectional studies have found that an increased intake of added sugars is associated with increased total energy intakes (Bowman, 1999; Gibson, 1996; Lewis et al., 1992; Overby et al., 2004; Storey et al., 2003). An analysis performed by the USDA Center for Nutrition Policy and Promotion (Britten et al., 2000), using the 1994–1996 Continuing Survey of Food Intakes by Individuals, divided the data set into four groups of equal number according to intake of added sugars expressed in teaspoons. About 59 percent of the group with the highest intake of added sugars consumed more than their 1989 Recommended Energy Allowance, in contrast with only 22 percent of all others (median added sugars consumption for the highest quartile was the equivalent of 36.7 teaspoons).

Teens who reported consuming 26 or more ounces of soft drinks per day consumed a mean of 2,604 kcal per day in contrast to nonconsumers of soft drinks, who consumed 1,984 kcal per day (Harnack et al., 1999). Using NHANES III data, Troiano and colleagues (2000) found that soft drinks contributed a higher proportion of daily energy intake for overweight than for nonoverweight children and adolescents. However, a large number of cross-sectional studies show an inverse correlation between the consumption of added sugars and either body weight or BMI (Bolton-Smith and Woodward, 1994; Gibson, 1996; Lewis, 1992). Not all studies, however, adequately adjust for physical activity levels of the study subjects, suggesting that active people can consume added sugars without gaining weight.

**Prospective Studies.** The study by Newby et al (2004) compared changes in BMI in 1345 2-5 year-old children over 8 months with their sweetened drink intake. While no correlation was found, the very-low level of soda intake (~1 oz per day) weakens the generalizability of this finding. It should be pointed out that the study neither found correlations between BMI and drinks consumed in larger quantities, like milk (20 oz per day) or fruit juice (10 oz per day).

Other recent prospective studies, however, found a weak association between the consumption of sugar-sweetened beverages and weight gain. An observational study by Ludwig et al. (2001) reported a positive association between energy intake and the change in consumption of sugar-sweetened beverages in a group of 548 ethnically diverse schoolchildren followed for 19 months. After adjustments for physical activity and other variables, the increased consumption of sugar-sweetened beverages was a factor independently associated with a minor but statistically significant increase in absolute BMI values in children. Additionally, the change in consumption of diet soda intake was negatively associated with the incidence of obesity, which was defined on the basis of both BMI and triceps-skinfold thickness greater than or equal to the 85th percentile of age- and sex-specific reference data.

Berkey et al. (2004), in a large two-year prospective cohort study of boys and girls age 9 to 14, found that the consumption of sugar-added beverages was associated with small BMI gains. They concluded that the consumption of sugar-added beverages may contribute to weight gain among adolescents, probably because of their contribution to total energy intake.
In a short-term longitudinal study of 30 children, Mrdjenovic and Levitsky (2003) reported that excessive sweetened drink consumption (>12 oz per day) resulted in a reduction in milk intake and an increase in daily energy intakes. Also, these investigators found that the greater the sweetened-drink consumption, the greater the weight gain.

A study of women in the Nurses’ Health Study examined the association between the consumption of sugar-sweetened beverages and weight change (Schulze et. al., 2004). Those with a stable consumption pattern of sugar-sweetened beverages (whether high or low) did not show a difference in weight gain. However, those who increased their sugar-sweetened soft drink consumption from low (<1 per week) to high (>1 per day) had the highest weight gain (4.69 kg in 4 years (1991–1995) and 4.20 kg for (1995–1999)). Those who decreased their intake of sugar-sweetened soft drinks had the smallest weight gain (1.34 kg for 1991–1995 and 0.15 kg for 1995–1999).

Another study, however, provided mixed results (Phillips et. al., 2004) followed 196 nonobese girls and found no relationship between total energy-dense food consumption and either BMI or the percentage of body fat. The energy-dense foods included baked goods, ice cream, chips, sugar-sweetened soft drinks, and candy. Sugar-sweetened soft drinks were the only food that was significantly related to BMI z-score1 over the study period, but it was not related to the percentage of body fat.

**Intervention Studies.** A study by James et al. (2004) is one of the few intervention trials published so far in which a decreased intake of carbonated beverages was a specific target. The goal of the intervention was to prevent excessive weight gain. The study, which included British schoolchildren age 7 to 11, randomized classrooms within each of six schools rather than randomizing individuals. In this cluster scheme, the consumption of carbonated drinks over 12 months decreased modestly by 0.6 glasses per day in the intervention group and increased by 0.2 glasses in the control group but had no effect on BMI or on Z score. The number of clusters with mean BMI above the 91st percentile increased by one in controls (7.5 percent) and did not change in the intervention. Water intake increased in both groups, but there was no difference in water intake between intervention and control clusters. The findings may have been affected by the study design in that it allowed for intervention and control classrooms to coexist in the same school, a likely source of contamination.

Mattes (1996) suggests that the form in which carbohydrates are consumed (solid or liquid) may be important since, at subsequent meals, people tend to compensate less for energy consumed in liquids than in solids; but this is controversial (see “What Is the Evidence To Support Caloric Compensation for Liquids versus Solid Foods?” in Section 2). Rolls (2002) found, however, that meals including sugar-sweetened beverages are

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1 The z-score, which represents the number of standard deviations away from the population mean in a normal distribution, indicates the degree to which an individual’s measurement deviates from what is expected for that individual.
higher in energy content than meals without drinks by an amount roughly equal to the calorie content of the beverage.

In summary, although the evidence is not large and there are methodologic problems with this research, the preponderance of prospective data available suggest that added sugars (particularly in beverages) are associated with an increase in energy intake. As a result, decreasing the intake of added sugars (particularly in beverages) may help prevent weight gain and may aid in weight loss.

**Does Intake of Added Sugars Have a Negative Impact on Achieving Recommended Nutrient Intakes?**

The Committee reviewed 19 published papers on the intake of added sugars and corresponding micronutrient intake; 9 were used to develop this rationale. Each of these papers (see Appendix G3 or Web site www.health.gov/dietaryguidelines) shows a decreased intake of at least one micronutrient with higher levels of added sugar intake (Bolton-Smith and Woodward, 1995; Bowman, 1999; Forshee and Storey, 2001; Gibson, 1997; Lewis et al., 1992; Nelson, 1991; Nicklas et al., 2003; Rugg-Gunn et al., 1991). For example, the Bowman study (Bowman, 1999) used data from CSFII (1994-1996) (n=14,707) and divided the intake data into three groups: (1) Less than 10 percent of total energy from added sugars (5,058); (2) 10 to 18 percent of total energy (n=4,488); (3) greater than 19 percent of total energy (n=5,158) (mean = 26.7 percent). Group three had the lowest mean intakes of all the micronutrients, especially vitamin A, vitamin C, vitamin B12, folate, calcium, phosphorus, magnesium and iron. The individuals in Group 3 did not meet the 1989 RDA for vitamin E, vitamin B6, calcium, magnesium, and zinc. In terms of food groups, Group 3 consumed more soft drinks, fruit drinks, punches, ades, cakes, cookies, grain-based pastries, milk desserts, and candies. They had lower intakes of grains, fruits, vegetables, meat, poultry, and fish compared to those in Groups 1 and 2.

In addition, an IOM panel developed tables to address the association between added sugars and specific micronutrient intakes at every fifth percentile of added sugar intake using data from NHANES III, 1988–1994 (IOM, 2002, Appendix J). Taken collectively, these data show a drop off in micronutrient intake at approximately 25 percent of calories coming from added sugars. The specific drop-off point depends upon the specific micronutrient and the age/sex of the group. Therefore, the IOM recommendation to keep added sugars intake below 25 percent of calories was based solely on the data of added sugar intake and micronutrient dilution—not on whether or not the people consuming added sugars were, for example, consuming more calories than required to meet their energy needs.

As noted previously, concern about added sugars arises when nutrient-poor foods are consumed at the expense of nutrient-dense foods. Not all foods that contain added sugars are poor sources of nutrients. Sugars can improve the palatability of foods and beverages that otherwise might not be consumed (FAO/WHO, 1998). Interestingly, a review of Appendix Table 1-9 in Appendix J of *Dietary Reference Intakes for Energy, Carbohydrate, Fiber, Fat, Fatty Acids, Cholesterol, Protein, and Amino Acids* (IOM,
2002) shows that individuals consuming 5 to 10 percent of their calories as added sugars have higher micronutrient intakes than those consuming 0 to 5 percent of their calories as added sugars. Figure D5-1 illustrates this point.

A possible reason for this apparent beneficial effect of small amounts of added sugars is from a recent paper (Frary et al., 2004). These investigators conclude that, on average, the consumption of sweetened dairy foods and beverages and presweetened cereals had a positive impact on children and adolescents’ diet quality, whereas sugar-sweetened beverages, sugars and sweets, and sweetened grains had a negative impact on their diet quality. The potential negative effects of added sugars appear to be excess calories or micronutrient dilution rather than a direct negative effect of sugar itself.

**Added Sugar Intake and Discretionary Calories.** Section 3 has a full discussion of the concept of discretionary calories. Added sugars fit into the category of discretionary calories because they are part of the difference between a person’s energy requirement and his or her *essential calories*. As shown in Figures 3-1, 3-3a, and 3-3b in that section, individuals may have very few discretionary calories, particularly persons who are sedentary.

**QUESTION 5: WHAT ARE THE MAJOR HEALTH BENEFITS OF FIBER-CONTAINING FOODS?**

**Conclusion**
Diets rich in dietary fiber have a number of important health benefits including helping to promote healthy laxation, reducing the risk of type 2 diabetes, and decreasing the risk of coronary heart disease (CHD). Prospective cohort studies suggest that decreased risk of heart disease is associated with the intake of 14 g of dietary fiber per 1,000 calories.

**Rationale**

**Overview.** The conclusion regarding the recommended intake of dietary fiber is consistent with the IOM’s AI value of 14 g of fiber per 1000 kcals (IOM, 2002). This AI for fiber intake was based on the totality of the evidence for certain health benefits of dietary fiber, placing emphasis on fiber’s protective role against CHD but also including its effect on laxation (Burkitt 1972; Cummings, 1992; Kelsay et al., 1978) and diabetes (Colditz et al., 1992; Salmeron et al., 1997a). The Committee evaluated the potential effects of fiber on laxation and diabetes and focused on the effects of fiber on CHD, since that was the basis of setting a value for fiber intake. Particular attention was paid to studies published since the IOM report. Studies on the association between fiber and diabetes are discussed under Question 2 in this section: How important is the glycemic response to carbohydrates to human health? Summaries of the studies on the relationship of fiber to healthy laxation and to risk of CHD are shown below.

**Review of the Evidence**
Fiber and Laxation. Chronic constipation is one of the most common disorders in Western countries (Roma et al., 1999). Although there is no one accepted definition of what constitutes normal laxation, constipation has been defined as difficulty in passing stools or an incomplete or infrequent passage of hard stools (Anderson, 2003). Epidemiological studies have reported a negative correlation between per capita fiber consumption and the incidence of chronic constipation (Graham et al., 1982). Dietary fibers from whole grains, fruits, and vegetables (including legumes) increase stool weight, which promotes normal laxation in children and adults. In general, the greater the weight of the stool, the more rapid the rate of passage through the colon (Birkett et al., 1997) the better the laxative effect. The water holding capacity and bulking ability that result in increased laxation are thought to reduce intracolonic pressure and lower the risk for diverticular disease as well (Bodribb et al., 1976).

Several factors affect stool weight, including the fermentability of the fiber (the less fermentable, the greater the fecal bulk) the water holding capacity of the fiber, and the contribution of the fiber to microbial mass, which also contributes to fecal bulk (Bach Knudsen et al., 1997; Blackwood et al., 2000 Chen et al., 1998). In addition, certain fibers may contain unfermented gel, which acts as an emollient and a lubricant (Marlett et al., 2000). Cummings reviewed over 100 studies of the effect of fiber intake on stool weight and calculated the increase in weight of the stool as a function of fiber intake (Cummings et al., 1992). There was a wide range of the contribution of dietary fiber to fecal weight (e.g. an increase of 5.7 g fecal bulk per gram of wheat bran fed compared to an increase of 1.3 g per gram of pectin in the diet). A meta-analysis of 11 studies in which daily fecal weight was measured accurately in 26 groups of people (n = 206) on controlled diets of known non-starch polysaccharide content shows a significant correlation between fiber intake and mean daily stool weight (r = 0.84) (Cummings et al., 1992). Although stool weight continues to increase as fiber intake increases (Burkitt et al., 1972; Wrick et al., 1983), there is a plateau effect for both intestinal transit time and fecal frequency. In general, most studies show that once intestinal transit time was less than 1 day and fecal frequency reached two to three per day the only effect of extra fiber in the diet was increased stool weight (Haack et al., 1998). The fecal weight required to achieve normalcy is variable, but the effect on decreasing transit time appears to plateau at fecal outputs >160 to 180 g per day (Burkitt et al., 1972). Many fiber experts have interpreted this as fiber having a “normalizing” effect on laxation: once normal laxation has been achieved, additional fiber may contribute to other health benefits but not to laxation.

A number of recent feeding studies of healthy individuals provide further evidence to support the role of a fiber-rich diet in normal laxation and other purported benefits to colonic health (Bach Knudsen et al., 1997; Blackwood et al., 2000; Chen et al., 1998 Haack et al., 1998). There are a large number of recent publications on the use of pre- and pro-biotics to alter the colonic microflora. Although a change in the microflora has been documented in several studies, functional endpoints are lacking at this time. (Cummings et al., 2002). Certain clinical studies have reported successful management of chronic constipation with fiber supplementation (Cummings, 1984; Hein et al., 1978; Loening-Baucke, 1994; Shafik et al., 1993).
**Children.** Consumption of adequate dietary fiber is associated with important health benefits throughout the lifecycle, but certain populations may require specific comment. For example, since the new AI for fiber is based on a decreased risk for CHD, some may assume that meeting the AI for fiber is less important for children than for adults. However, chronic constipation is one of the most common causes of morbidity in childhood (Bakwin, 1971; Leung, 1996; Loening-Baucke, 1995). Some studies have shown that up to 10 percent of children have chronic constipation (Bakwin, 1971; Leung, 1996; Loening-Baucke, 1995), which accounts for 25 percent of visits to pediatric gastroenterology clinics (Loening-Baucke, 1994). Several cross-sectional surveys on U.S. children and adolescents found inadequate dietary fiber intakes (Champagne et al., 2004; Cavadini et al., 2000). A randomized study of Greek children (291 with constipation and 1,602 controls) age 2 to 14 found that constipated children had lower caloric and nutrient intakes ($P < 0.001$), lower body weight/height ($P < 0.001$), and reported a higher prevalence of anorexia ($P < 0.001$). Despite the age of onset of constipation, dietary fiber alone was inversely correlated with chronic constipation ($P < 0.001$) (Roma et al., 1999). Another study found that children with constipation consumed approximately half as much fiber as a control group that was not constipated (McClung, et al., 1995). Similarly, Morais et al. (1999) reported that children with chronic constipation ate less fiber than their age-matched counterparts.

**Elderly.** There are a number of issues regarding healthy laxation and the elderly, some of which are summarized in Imershein et al. (2000). Some of these issues involve varied definitions of what constitutes normal laxation. However, there are also very real issues of drug interactions with laxation and lack of appropriate hydration due to concerns about urinary incontinence. Diuretics (often prescribed for hypertension) may result in hard stools, which are difficult to pass. Limitations on mobility also contribute to constipation. Not only is the prevalence of constipation higher in the elderly than in the general population (Grant, 1999), but the impact on quality of life appears to be greater (Pettigrew et al., 1997). Constipation may affect up to 20 percent of people over 65 years of age (Rouse et al., 1991).

**Fiber and CHD.** Evidence from a large number of epidemiological studies supports a protective role for dietary fiber against CHD (Fraser et al., 1992; Humble et al., 1993; Kromhout et al., 1982; Kushi et al., 1985; Khaw and Barrett-Connor et al., 1987; Morris, 1977; Liu et al., 1999; Pietinen et al., 1996; Rimm et al., 1996; Wolk et al., 1999). The actual numbers used to set the AI were from three well-designed, adequately powered prospective epidemiological studies that measured the intake of fiber in healthy people and related the intake to later development of CHD (Pietinen et al., 1996; Rimm et al., 1996; Wolk et al., 1999) (see Table D5-1). Specifically, in the **Health Professionals Follow-up Study**, in which 43,757 men were followed, the relative risk for CHD for men in the highest quintile of fiber intake was 0.59 compared to 1.0 for the men in the lowest quintile of fiber intake ($p<0.001$). In the **Nurses’ Health Study**, involving 68,782 U.S. women, the relative risk for the highest quintile of fiber intake was 0.77 compared to 1.0 for the lowest (Wolk et al., 1999). In the **Finnish Men’s Study**, involving 21,930 men, the relative risk for CHD for men in the highest quintile of fiber intake was 0.45 compared to
the lowest quintile ($P < 0.001$) (Pietinen et al., 1996). As can be determined from data rows one through three of Table 5-1, the AI of 14 g of fiber per 1,000 kcal was calculated using the average intake of fiber in the “protected group” in each of the studies (i.e., the highest quintile of fiber intake) and dividing that intake by the average energy intake for that quintile to obtain grams of fiber per calorie. Fiber intake then was expressed as grams per 1,000 calories to provide a more useable number. In brief, to be in the group with the lowest risk for CHD, an average intake of 14 g of fiber per 1,000 kcal would need to be consumed.

Two more recent prospective cohort studies (Bazzano et al., 2003; Liu et al., 2002) provide further evidence that supports the AI of 14 g of fiber per 1000 kcal (Table D5-1). Liu et al. used prospective data from the Women’s Health Study over a 6-year period to assess the relationship between total dietary fiber, soluble and insoluble fiber, and fiber sources on the risk of cardiovascular disease or myocardial infarction. A significantly smaller number of cardiovascular disease cases occurred in the highest quintile of intake than in the lowest quintile of intake (99 cases vs. 140 cases). The age and randomized treatment-adjusted relative risk (RR) of cardiovascular disease was 0.65 ($P$ for the linear trend $= 0.001$) comparing the highest and lowest quintiles (Liu et al., 2002). Liu et al. also reported a pooled analysis of nine published dietary fiber and CHD epidemiological studies. This pooled analysis shows an RR of 0.83 associated with 10 g increases in dietary fiber intake (Liu et al., 2002). Bazzano et al. (2003) examined the relationship between total and soluble dietary fiber intake and the risk of CHD and cardiovascular disease in 9,776 adults who participated in the National Health and Nutrition Examination Survey 1 Epidemiologic Follow-up Study. They report that individuals in the highest quartile for dietary fiber intake (20.7 g per day) had an RR of 0.88 for CHD events compared to those in the lowest quartile (5.9 g per day).

A meta-analysis by Pereira et al. (2004) compared intakes of dietary fiber and its subtypes (cereal, fruit, and vegetable fibers) and risk of CHD. This pooled analysis of 10 large prospective studies reported that each 10 g per day increment of dietary fiber was associated with a 14 percent decreased risk of coronary death. Fiber from cereals and fruits also had a strong, inverse association with CHD risk (RR 0.75 and 0.70, respectively). This association was not found for vegetable fiber (RR 1.00).

When setting the AI for fiber, the IOM also took into consideration small-scale clinical intervention trials and potential mechanisms for this observed protective effect against CHD. As reviewed by Fernandez (2001), a large number of relatively small-scale clinical intervention trials have shown that viscous fibers can lower serum cholesterol. It is generally accepted that a decrease in serum cholesterol is protective against CHD (see Part D, Section 4, for further information). Notably, in the studies in the Types and Sources of Dietary Fiber Summary Tables, Appendix G-3, total dietary fiber from foods was shown to be protective against CHD, not just those fibers that lower cholesterol. Whole grains, fruits, and vegetables are the food sources of fiber. Other possible mechanisms for the protective effect of high-fiber diets include the delayed absorption of macronutrients; a decrease in serum triglyceride levels; and a lowering of blood pressure.
Also, whole grains, fruits and vegetables contain substances, such as phytochemicals, that may contribute to their beneficial effect in protecting against CHD.

**SUMMARY**

Carbohydrates—the sugars, starches, and fibers found in grains, fruits, vegetables, and milk products—are an important part of a nutritious, healthy diet. The intake of carbohydrates (including sucrose, glucose, fructose, lactose and starch) contributes to dental caries by providing substrate for bacterial fermentation in the mouth. Drinking fluoridated water and/or using fluoride-containing dental hygiene products help reduce the risk of dental caries.

Compared with individuals who consume small amounts of foods and beverages that are high in added sugars, those who consume large amounts tend to consume more calories but smaller amounts of micronutrients. Although more research is needed, available prospective studies suggest a positive association between the consumption of sweetened beverages and weight gain. A reduced intake of added sugars (especially sugar-sweetened beverages) may be helpful in weight control and in achieving recommended intakes of nutrients.

To reduce the risk of CHD disease and promote healthful laxation, the Committee recommends the intake of 14 g of dietary fiber per 1,000 calories.

**REFERENCES**


Frary DC, Johnson RK, Wang MQ. Children and adolescents’ choices of foods and beverages high in added sugars are associated with intakes of key nutrients and food groups. *Journal of Adolescent Health* 34, 56-63, 2004.


Mattes RD. Dietary compensation by humans for supplemental energy provided as ethanol or carbohydrate in fluids. *Physiology and Behavior* 59:179-187, 1996.


Table D5-1. Dietary Fiber Intake and Coronary Heart Disease (CHD): Prospective Cohort Studies  
(The first 3 citations were used to establish AI for fiber in DRI Macronutrient report.)

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^a Dietary fiber intake is adjusted to caloric intake.
^b Total dietary fiber derived by adding values for cereal, vegetable and fruit fiber.
Calcium Intake in 4- to 8-year-old Children as a function of added sugar intake

This level represents the best with respect to micronutrient intake

Source: IOM, 2002